·Clinical Research· Clinical staging and prognostic risk factors for ocular adnexal lymphoma

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[Abstract] Objective To evaluate clinical staging and prognostic risk factors for ocular adnexal lymphoma (OAL).

Methods An ambispective cohort study was conducted. Seventy-four patients diagnosed with primary OAL by pathology at Tianjin Medical University Eye Hospital from November 2010 to December 2018 were enrolled. TNM staging of tumor was performed according to local tumor extent and lymph node or systemic involvement. Ann Arbor staging was carried out according to lymph node involvement and extranodal extension. The pathological type was classified according to the World Health Organization classification of lymphoma. The outcome of disease progression or death was analyzed. The Kaplan-Meier method was used for univariate survival analysis and the Cox proportional hazard model was employed for multivariate survival analysis to predict the risk factors affecting prognosis; hazard ratio (HR) and 95% confidence interval were estimated. This study adhered to the Declaration of Helsinki and the study protocol was approved by an Ethics Committee of Tianjin Medical University Eye Hospital (No. 2021KY[L]-32). Written informed consent was obtained from all patients before entering the cohort.

Results For TNM staging, 68 cases were stage < T4 (91.9%), six were stage T4 (8.1%), 71 were stage N0 (95.9%), three were stage ≥N1 (4.1%), and none were stage M. For Ann Arbor staging, 72 cases were stage I E (97.3%) and two were stage II E (2.7%). As for pathological classification, 64 cases were mucosa-associated lymphoid tissue (MALT) lymphoma (86.5%) and 10 were non-MALT lymphoma (13.5%). Follow-up lasted 3-117 months (median, 53 months). Six patients died of the disease and 19 experienced disease progression. The 3-year and 5-year overall survival rate was 96.6% and 86.6%, respectively. The 3-year and 5-year progression-free survival rate was 75.6% and 65.9%, respectively. T4 stage, non-MALT type tumor, and Ki67-positive rate $\geq 10\%$ were related to declined overall survival rate (P<0.05). T4 stage, ≥N1 stage, ≥Ann Arbor II stage, non-MALT type tumor, and Ki67 positive rate ≥10% were related to declined progression-free survival rate (P<0.05). Pathological type (HR=33.193, P=0.003) was an independent risk factor for overall survival rate. N stage (HR=11.683, P=0.001) and pathological type (HR=11.337, P<0.001) were independent risk factors for progression-free survival rate.

Conclusions TNM staging and pathological type are important clinical prognostic indicators for ocular adnexal lymphoma. Patients with high TNM stage or non-MALT lymphoma should be monitored closely.

Key words Lymphoma; Prognosis; Survival analysis; Ocular adnexa; Risk factors; TNM classification; Ann Arbor classification

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Ocular adnexal lymphoma (OAL) originates in the conjunctiva, eyelids, lacrimal gland, lacrimal drainage apparatus, and other orbital

tissues^[1]. The anatomic site and clinical manifestations in OAL are specific and different from systemic lymphomas, which originate in lymph nodes or extranodal organs. Most OALs invade eyelid swelling. Some cases are closely related to systemic lymphomas, with lymph nodes or distant metastasis. Therefore, clinical staging of OAL should be performed precisely according to the extent of tumor invasion, and the use of Ann Arbor and TNM staging systems has become widespread. The Ann Arbor system is mainly used for the clinical staging and treatment of Hodgkin lymphoma, but its prognostic value for OAL remains controversial² because OALs are primarily extranodal non-Hodgkin lymphoma. The TNM staging system was initiated by the American Joint Committee on Cancer (AJCC) in the 7th edition of the Cancer Staging Manual and was revised in the 8th edition to assess the prognostic value 3-4. At present, there is no relevant report regarding the effect of TNM staging on the survival and prognosis of OAL in China. In addition, the various pathological types of lymphoma are complex and diverse. The pathological classification of OAL according to the World Health Organization (WHO) classification criteria is helpful for diagnosis and treatment, and is closely related to prognosis 5. Therefore, this retrospective study aimed to evaluate clinical stage and other risk factors in the prognosis of OAL.

1 Methods

1.1 Study design and patients

An ambispective cohort study was conducted. The study was approved by Tianjin Medical University Eye Hospital Foundation Institutional Review Board and adhered to the principles of the Declaration of Helsinki. Informed consent was obtained from all included subjects. A retrospective analysis was performed on the clinical data of patients diagnosed with primary OAL by pathological examination in Tianjin Medical University Eye Hospital (Tianjin, China) from November 2010 to December 2018. Inclusion criteria were as follows: 1) primary OAL; 2) confirmed pathological diagnosis; and 3) follow-up time \geq 3 months. Exclusion criteria were as follows: 1) secondary to systemic lymphoma; 2) unclear pathological diagnosis; or 3) incomplete follow-up data or follow-up time < 3 months. A total of 74 cases were ultimately included in the analysis; this included 50 males and 24 females, with a mean age of 64 \pm 14 years, and a median medical history of 6 (4, 18) months. A total of 65 cases occurred unilaterally whereas nine occurred bilaterally.

1.2 Clinical stage and pathological classification

All patients underwent a computed tomography/magnetic resonance imaging (CT/MRI) examination of the orbit, head and neck, chest, and abdomen. TNM staging was performed according to AJCC eighth edition criteria (Table 1), and Ann Arbor staging was performed according to the Lugano revision (Table 2). For bilateral tumors with different T staging, staging for the more severe side was recorded. Pathological diagnosis and classification were made by pathologists from Tianjin Medical University Eye Hospital according to WHO classification criteria ⁶. The positive rate of Ki-67 was recorded as the percentage of positive immunohistochemical nuclear staining in all tumor cells.

Staging	Criteria
T Category	
TÖ	No evidence of lymphoma
T1	Lymphoma involving the conjunctiva alone without eyelid or orbital involvement
T2	Lymphoma with orbital involvement with or without conjunctival involvement
T3	Lymphoma with preseptal eyelid involvement with or without orbital involvement and with or without conjunctival involvement
Τ4	Orbital adnexal lymphoma and extraorbital lymphoma extending beyond the orbit to adjacent structures, such as bone, maxillofacial sinuses, and brain
N Category	
NÖ	No evidence of lymph node involvement
N1	Involvement of lymph node region or regions draining the ocular adnexal structures and superior to the mediastinum
N1a	Involvement of a single lymph node region superior to the mediastinum
N1b	Involvement of two or more lymph node regions superior to the mediastinum
N2	Involvement of lymph node regions of the mediastinum
N3	Diffuse or disseminated involvement of peripheral and central lymph node regions
M Category	
MÖ	No evidence of involvement of other extranodal sites
M1	Involvement of other extranodal sites
M1a	Noncontiguous involvement of tissues or organs external to the ocular adnexa (e.g., parotid glands, submandibular gland, lung, liver, spleen, kidney, breast)
M1b	Lymphomatous involvement of the bone marrow
M1c	Both M1a and M1b involvement

	Table 2 Ann Arbor staging system of lymphoma (Lugano edition)							
Staging	Criteria							
Limited stage								
Ι	Involvement of a single lymphatic site (I), or single extralymphatic site (IE)							
Π	Involvement of two or more lymph node regions on the same side of the diaphragm (II), or contiguous extralymphatic extension from a nodal site (IIE)							
Advanced stage								
III	Involvement of lymph node regions on both sides of the diaphragm; nodes above the diaphragm with spleen involvement							
IV	Diffuse or disseminated involvement of one or more extralymphatic organs, with or without associated lymph node involvement; or noncontiguous extralymphatic organ involvement in conjunction with nodal Stage II disease or any extralymphatic organ involvement in nodal Stage III disease. Stage IV includes any involvement of the CSF, bone marrow, liver, or lungs.							

1.3 Treatment method

Treatment protocols included: 1) surgery: complete or mostly complete resection; 2) radiotherapy: conventional fractionated external radiation with total dose 20–36 Gy in 10–18 fractions; 3) chemotherapy: CHOP regimen (cyclophosphamide 750 mg/m², doxorubicin 50 mg/m², vincristine 1.4 mg/m², prednisone 40 mg) or R-chop regimen (CHOP regimen plus rituximab 375 mg/m²) every 3 weeks, for a total of 4–6 courses.

1.4 Follow-up and evaluation

The follow-up ended on November 1, 2021. The outcome events included: 1) death from the disease; and (2) progressive disease (PD): any new lesion or increase by \geq 50% of previously involved lesions from nadir, respectively 7. Overall survival (OS) and progression-free survival (PFS) were calculated from the date of diagnosis. OS was defined as the period until the

date of death or last follow-up, and PFS was defined as the period after the initial treatment until disease progression or until the last follow-up date.

1.5 Statistical analysis

The prognostic factors for OAL were

analyzed using SPSS Statistics 25 (NY, USA). Continuous variables were expressed as $\bar{x}\pm s$ or median (interquartile range) ([M(Q1, Q3)), according to the normality tests. Categorical variables were expressed as number (percentage). Categorical variables were compared using the χ^2 test or Fisher exact test. The one-way ANOVA or Kruskal-Wallis H test, where appropriate, was used to compare continuous variables between two groups. The Kaplan-Meier test was used for univariate survival analysis. The Log-rank test was used to compare survival results of each subgroup. The variables in univariate analysis at a significant level of $P{<}0.1$ were then analyzed in a Cox proportional risk model for multivariate survival analysis. Finally, variables were defined as significant at $P{<}0.05$.

2 Results

2.1 Comparison of different clinical stages and baseline data

TNM classifications included 11 cases of T1N0, 46 of T2 (45 cases of T2N0 and one of T2N1), 11 of T3N0, six of T4 (four cases of T4N0, one of T4N1, and one of T4N2), and none of M stage. Clinical stage was lower than T4 stage disease for 68 cases (91.9%) and T4 stage disease for six (8.1%). A total of 71 cases (95.9%) were at N0 stage and three (4.1%) were \geq N1 stage. Using the Ann Arbor stage system, 72 cases (97.3%) were IE stage and two (2.7%) were II E stage. There were no significant differences with respect to gender, age, laterality, or medical history between different T stages (P>0.05) (Table 3).

Table 3 Comparison of demographics among ocular adnexal lymphoma patients

penou unui	ule		with o	different I stages	
T stage	Cases	Age $(\bar{x}\pm s, year)^a$	Male [n(%)] ^b	Medical history $[M,(Q_1,Q_3), month]^c$	Unilateral [n(%)] ^b
T1	11	56±19	8(72.7)	10(6,24)	9(81.8)
T2	46	64±13	30(65.2)	6(3,12)	41(89.1)
Т3	11	70 ± 10	7(63.6)	18(6,48)	10(90.9)
T4	6	69± 9	5(83.3)	4(2,11)	5(83.3)
$F/\chi^2/H$		2.290	-0.087	5.680	-0.353
Р		0.086	0.931	0.128	0.724

Note: (a: One-way ANOVA; b: χ^2 test; c: Kruskal-Wallis *H* test)

2.2 Comparison different clinical stages and pathological types

Extranodal marginal zone B-cell lymphoma of mucosa-associated lymphoid tissue (MALT) accounted for 64 cases (86.5%); non-MALT lymphoma accounted for 10 cases (13.5%), including diffuse large B-cell lymphoma (DLBCL) in six cases, mantle cell lymphoma (MCL) in two cases, and T-cell lymphoma (TCL) in two cases. The Ki67-positive rate for MALT lymphoma was 5% (1%, 6%), which was significantly lower than that of non-MALT

lymphoma [55% (9%, 80%)], and this difference was statistically significant (P<0.05). The proportion of MALT lymphoma <T4 stage was higher than that of

non-MALT lymphoma, and this difference was statistically significant (P=0.002). There were no significant differences in N stage or Ann Arbor stage distribution among different pathological types (P>0.05) (Table 4).

Table 4 Comparison of the number of MALT and non-MALT cases in different clinical stages [n(%)]

De the all a ser	C	T stage		N sta	ge	Ann Arbor stage	
Pathology	Cases	<t4< th=""><th>T4</th><th>N0</th><th>≥N1</th><th>Ι</th><th>\geq II</th></t4<>	T4	N0	≥N1	Ι	\geq II
MALT	64	62(96.9)	2(3.1)	62(96.9)	2(3.1)	63(98.4)	1(1.6)
Non-MALT	10	6(60.0)	4(40.0)	9(90.0)	1(10.0)	9(90.0)	1(10.0)
Р		0.002		0.357		0.254	

Note: (Fisher exact test) MALT: extranodal marginal zone lymphoma of mucosa-associated lymphoid tissue

2.3 Comparison of cases by treatment type, clinical stage, and pathological type

All patients were treated with surgery, followed by postoperative radiotherapy in 24 cases (mean radiation dose 33 Gy) or chemotherapy in 25 cases (CHOP in 19 cases, R-CHOP in six cases). The treatment group was divided into a surgery group (n=34,

45.9%), surgery+radiotherapy group (n=15, 20.3%), surgery+chemotherapy group (n=16, 21.6%), and surgery+radiotherapy+chemotherapy group (n=9, 12.2%). There were no significant differences with respect to pathological type or T, N, and Ann Arbor stage among different treatment groups (P>0.05) (Table 5).

Table 5 Comparison of the number of	cases by treatment type, o	clinical stage, and patho	logical type [n (%)]

C	Pathology		T stage		N stage		Ann Arbor stage		
Cases	MALT	Non-MALT	<t4< th=""><th>Τ4</th><th>N0</th><th>≥N1</th><th>Ι</th><th>≥II</th></t4<>	Τ4	N0	≥N1	Ι	≥II	
34	31 (91.2)	3 (8.8)	33 (97.1)	1 (2.9)	34 (100.0)	0 (0)	34 (100.0)	0 (0)	
15	14 (93.3)	1 (6.7)	14 (93.3)	1 (6.7)	14 (93.3)	1 (6.7)	15 (100.0)	0 (0)	
16	12 (75.0)	4 (25.0)	14 (87.5)	2 (12.5)	15 (93.8)	1 (6.3)	15 (93.8)	1 (6.3)	
9	7 (77.8)	2 (22.2)	7 (77.8)	2 (22.2)	8 (88.9)	1 (11.1)	8 (88.9)	1 (11.1)	
		3.442	3.68	35	4.00)1	4.6	29	
	0.328		0.29	0.298		0.261		0.201	
	15	Cases MALT 34 31 (91.2) 15 14 (93.3) 16 12 (75.0) 9 7 (77.8)	Cases MALT Non-MALT 34 31 (91.2) 3 (8.8) 15 14 (93.3) 1 (6.7) 16 12 (75.0) 4 (25.0) 9 7 (77.8) 2 (22.2) 3.442 3.442	$\begin{array}{c ccccc} \hline Cases & \hline MALT & Non-MALT & $	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	

Note: (x² test) MALT: extranodal marginal zone lymphoma of mucosa-associated lymphoid tissue

2.4 Survival analysis

The follow-up time of 74 patients ranged from 3 to 117 months, with a median of 53 months. Six patients (8.1%) died of the disease (DOD; extensive tumor metastasis or organ failure), and the 3-year and 5-year overall survival (OS) rates were 96.6% and 86.6%, respectively. Among the six DOD cases, there were two cases each of T2N0, T3N0, and T4N0 stage; six cases of Ann Arbor I stage; and three cases each of MALT and non-MALT. By Kaplan-Meier analysis, the 5-year OS rate was 53.3% for T4 stage disease and 89.7% for < T4 stage disease was; this difference was statistically significant (P<0.05) (Figure 1). The 3-year OS rate of MALT and non-MALT disease was 100% and 71.4%, respectively, and this difference was statistically significant (P<0.05) (Figure 2). High Ki67-positive rate may be a risk factor for death, and the data was grouped by < and ≥third quartile (Q3 = 10%). The 5-year OS rate of Ki67 (%) < 10% and $\geq 10\%$ was 93.4% and 62.8%, respectively, and this difference was statistically significant (P<0.05) (Figure 3). Age may be a factor affecting OS, and the data were grouped according to Q3 = 72 years. The 5-year OS of age < 72 years and ≥72 years was 92.1% and 76.3%, respectively, and there was no significant difference between these two groups (P=0.09) (Figure 4). There were no significant differences in OS with respect to sex, medical history, laterality, N stage, Ann Arbor stage, or treatment group (P>0.1).

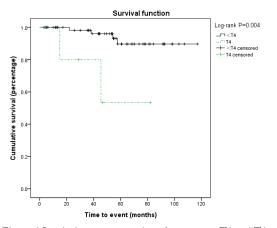
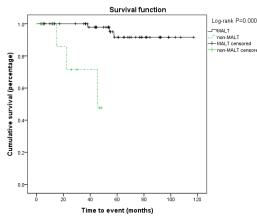
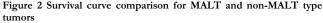


Figure 1 Survival curve comparison for stages < T4 and T4





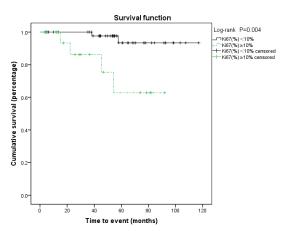


Figure 3 Survival curve comparison for Ki67 positive rate < 10% and $\geq\!10\%$

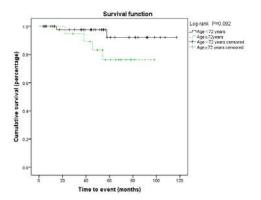


Figure 4 Survival curve comparison for ages <72 years and ≥72 years

At the end of the follow-up period, 19 patients (25.7%) were considered to have progressive disease (PD) (including 12 cases of local relapse and eight cases of lymph node metastasis or systemic metastasis); the 3-year and 5-year PFS rates were 75.6% and 65.9%, respectively. Among the 19 cases of PD, two were T1N0, nine were T2N0, one was T2N1, three were T3N0, two were T4N0, one was T4N1, and one was T4N2; 17 cases were Ann Arbor I stage and two were ≥II stage; 13 cases were MALT and six were non-MALT type tumors. By Kaplan-Meier analysis, the 3-year PFS rate of T4 and < T4 stage was 20.0% and 80.6%, respectively; this difference was statistically significant (P<0.05) (Figure 5). The 3-year PFS rate of N0, \geq N1, Ann Arbor I, and \geq II groups was 79.6%, 78.1%, 0, and 0, respectively; there were statistically significant differences between N0 and \geq N1 stage, and between Ann Arbor I and \geq II stage (*P*<0.05) (Figure 6-7). The 3-year PFS rate of MALT and non-MALT type tumors was 82.9% and 18.8%, respectively, with statistically significant differences between the two groups (P < 0.05) (Figure 8). The 5-year PFS rate of Ki67 (%) < and $\geq 10\%$ groups was 69.5% and 55.0%, respectively. PFS curves showed statistically significant differences between the two groups in the early stage (P<0.05), but not in the late stage (P>0.05) (Figure 9). The 3-year PFS rate of the unilateral ocular involvement group was 81.5%, and that of the bilateral group was 31.3%; the difference between two groups was statistically significant (P<0.05) (Figure 10). There were no significant differences in PFS with respect to different gender, age, medical history, or treatment group (P>0.05).

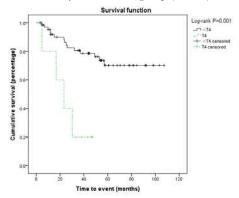


Figure 5 Progression-free survival curve comparison for stages ${<}T4$ and T4

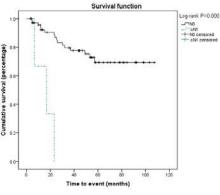


Figure 6 Progression-free survival curve comparison for stages N0 and ${\geq}N1$

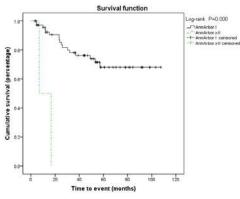


Figure 7 Progression-free survival curve comparison for Ann Arbor stages I and $\geq II$

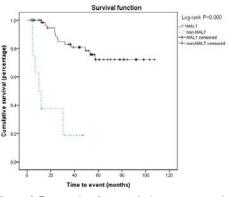


Figure 8 Progression-free survival curve comparison for MALT and non-MALT type tumors

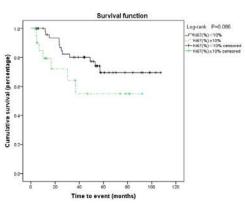


Figure 9 Progression-free survival curve comparison for Ki67-positive rates < 10% and \geq 10% (Log-rank *P*=0.086, Generalized Wilcoxon *P*=0.031, Tarone-Ware *P*=0.049)

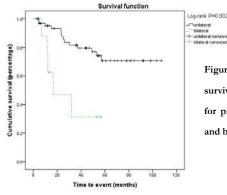


Figure 10 Progression-free survival curve comparison for patients with unilateral and bilateral disease

2.5 Prognostic factors

The variables showing P<0.1 in the univariate analysis (T stage, pathological type, Ki67-positive rate. and age) were analyzed by Cox multivariate regression. Pathological type was found to be an independent risk factor affecting OS (*HR*=33.193, *P*=0.003). T stage, N stage, Ann Arbor stage, pathological type, and Ki67-positive rate were analyzed by Cox multivariate regression. The results showed that N stage (HR=11.683, *P*=0.001) and pathological type (HR=11.337, *P*=0.000) were independent risk factors for PFS (Table 6–7).

Table 6 Prognostic factors of		1 . C 1	1 11 1	1 1 0	•	
Lable 6 Prognostic factors of	OVERAL SURVIVA	I tate for ocular	radneval lymni	homa hv (OV TEOTESSION	analveie

Variables			U	nivariate		Multivariate				
vanables	В	SE	Wald	HR (95% <i>CI</i>)	R (95% CI) P B		SE	Wald	HR (95% <i>CI</i>)	Р
Age	1.355	0.866	2.447	3.878(0.710-21.191)	0.118	-	-	-	-	-
T stage	2.134	0.880	5.882	8.450(1.506-47.415)	0.015	-	-	-	-	-
Pathological type	3.502	1.164	9.049	33.193(3.388-325.156)	0.003	3.502	1.164	9.049	33.193(3.388-325.156)	0.003
Ki67 positive rate	2.123	0.869	5.963	8.356(1.520-45.925)	0.015	-	-	-	-	-

Note: B: partial regression coefficient; SE: standard error; Ward: chi-square value; HR: hazard ratio; CI: confidence interval; Age (1 indicates <72 years old, 2 indicates \geq 72 years old); T staging (1 indicates <T4, 2 indicates T4); Pathology (1 indicates MALT type tumor, 2 indicates non-MALT type tumor); Ki67 positive rate (1 indicates \leq 10%, 2 indicates \geq 10%)

Table 7 Prognostic factors of			1 1 0	
Lable 7 Prognostic factors of	nrooression_free survival	rate for ocular adneval lyn	anhoma by Lov	reoression analysis

Variables			U	nivariate				Μ	ultivariate	
variables	В	SE	Wald	HR (95% CI)	Р	В	SE	Wald	HR (95% CI)	Р
Laterality	1.487	0.533	7.787	4.422(1.556-12.561)	0.005	-	-	-	-	-
T stage	1.760	0.584	9.088	5.810(1.851-18.238)	0.003	-	-	-	-	-
N stage	2.502	0.696	12.942	12.210(3.124-47.730)	< 0.001	2.458	0.744	10.929	11.683(2.720-50.173)	0.001
Ann Arbor stage	2.720	0.822	10.942	15.177(3.029-76.041)	0.001	-	-	-	-	-
Pathological type	2.425	0.531	20.860	11.299(3.992-31.984)	< 0.001	2.428	0.552	19.330	11.337(3.841-33.464)	< 0.001
Ki67 positive rate	0.799	0.478	2.791	2.224(0.871-5.682)	0.095	-	-	-	-	-

Note: *B*: partial regression coefficient; *SE*: standard error; *Ward*: chi-square value; *HR*: hazard ratio; *CI*: confidence interval; Laterality (1 indicates unilateral, 2 indicates bilateral); T staging (1 indicates < T4, 2 indicates T4); N stage (1 indicates N0 stage, 2 indicates $\ge N1$ stage); Ann Arbor staging (1 indicates stage I, 2 indicates $\ge Stage$ I]; Pathology (1 indicates MALT type tumor, 2 indicates non-MALT type tumor); Ki67 positive rate (1 indicates < 10%, 2 indicates $\ge 10\%$)

3 Discussion

Ann Arbor staging was originally designed for Hodgkin lymphoma, and includes nodal and extranodal involvement as well as the presence or absence of systemic symptoms. In the Lugano revision, the scope of extranodal sites was revised to emphasize the continuous or discontinuous trend of extranodal extension, such as retaining stage I and II with extranodal involvement, removing the substages of III with extranodal involvement, upgrading stage II with discontinuous extranodal organ involvement, and stage III with any extranodal organ involvement to stage IV7. OAL, as a primary conjunctival, orbital, or palpebral tumor with adjacent lymph node involvement, normally belongs to Ann Arbor stage I or II. Stage III-IV disease and systemic symptoms have been associated with poor prognosis⁸. In this study, Ann Arbor staging was concentrated on stage IE, which was not conducive to substage survival analysis. For lymphoma specifically localized to the ocular adnexal region, another staging system is needed to assess the tumor invasion.

The TNM staging system was revised in the AJCC 8th edition by deleting the substages of T1, T2, and T4 from T stage, as well as redefining N stage by the number of lymph nodes involved in the ocular adnexal drainage area and the position of mediastinum. Multiple studies suggest that the TNM system is useful for disease prognosis, but the conclusions of prognostic values show some differences. Sniegowski et al. 9 reported that increased T stage was related to decreased disease-free survival, and the OS rate of T4 stage tumors was significantly reduced. Nam et al. 10 proposed that the complete response rate of lymphoma located in the conjunctiva was higher after initial treatment, but there was no difference in recurrence and mortality between conjunctiva and non-conjunctiva groups. Kwon¹¹ used the AJCC 8th edition stage system for OAL to perform univariable analyses, and found that patients in \geq T2 and ≥N1 stages had increased risk of recurrence and decreased PFS, among which T4 stage was closely related to distant relapse

(HR=11.08). Our study aimed to validate the new AJCC staging system to determine the prognosis of patients with OAL at univariate and multifactorial levels, and found that the risk of death in T4 stage patients was eight times higher than that in < T4 stage patients. Furthermore, we found that the risk of progressive disease in T4 and ≥N1 cases was six and twelve times higher, respectively, than that in < T4 and N0 cases. By multiple factors, T stage was not an independent risk factor for reducing OS or PFS, but ≥N1 stage and non-MALT pathological type did show significant regulatory effects on disease progression. These results suggest that high T category is predictive of decreased OS or DFS, which may be affected by the synergistic effect of N stage and pathological type. If a T4 tumor spreads out of the orbit, it will invade the paranasal sinus, temporal fossa, skull base, and other sites, and can spread more easily through the lymphatic pathway to result in metastasis or death. While low T category may not be significantly associated with recurrence or survival, it is more meaningful for accurately documenting lesions during follow up.

Pathological type is an important factor affecting the prognosis of disease. The most common pathological type is MALT lymphoma, followed by follicular lymphoma (FL), diffuse large B-cell lymphoma (DLBCL), mantle cell lymphoma (MCL), as well as others ¹². MALT and FL tumors usually present with local indolent growth. Their prognoses (10-year OS rate 92% and 71%, respectively) are significantly better than DLBCL and MCL (10-year OS rate 41% and 32%, respectively), and the risk of reduced OS and PFS about 25 times and six times that of DLBCL and MCL, respectively ^{11,13}. Some studies have pointed out that DLBCL is an independent risk factor for disease recurrence in common pathological types ¹⁴. However, due to the limitation of samples, the proportion of some pathological types in this study was small or missing. We divided the patients into two groups, and found that the risk of death and

disease progression in non-MALT lymphoma was about 33 and 11 times greater, respectively, than with MALT lymphoma. High-grade lymphomas such as DLBCL, MCL, and nature killer (NK)/T are characterized by aggressiveness, accompanied by a large number of mitotic figures and a high proliferation index, which are of significant prognostic value 15. Ki67 is a monoclonal antibody combined with proliferating cell nuclear antigen. The Ki67 proliferation index can be used to reflect the characteristics of tumor cell proliferation and to predict clinical outcomes. This should be assessed by counting the percentage of cells with positive nuclear expression of Ki67, with a Ki67 (%) > 30% usually indicating a poor clinical prognosis 16. In this study, the risk of death in the Ki67 (%) ≥10% group was approximately eight times higher than that in the Ki67 (%) < 10% group. Considering the median Ki67 (%) of non-MALT tumors was 55%, which was significantly higher than that of MALT type tumors, it was speculated that high Ki67 index and high-grade pathological type might have a synergistic effect. However, at the multifactorial level, pathology was the only risk factor affecting OS and PFS. Therefore, Ki67 (%) indicated tumor proliferation activity and risk of early recurrence, but did not independently regulate OS or PFS under the influence of pathological types and other factors.

MALT lymphoma is sensitive to radiotherapy, with the 5-year local control rate reaching 100% at an average dose of 30 Gy, the 5-year OS ranging from 89% to 100%, and the 5-year PFS ranging from 75% to 92% ¹⁷⁻²². Oh et al. ²³ reported that radiotherapy also had a good effect on bilateral disease, and bilateral low-dose radiotherapy (< 30 Gy) had a tendency to prolong PFS more than chemotherapy. Treatment of DLBCL has not yet formed into a unified plan, and a combination treatment of surgery, chemotherapy, and radiotherapy is mostly used with a 5-year OS of 20% to 36%²⁴⁻²⁵. At present, immunochemotherapy using a B cell monoclonal antibody (Rituximab) is the standard treatment for B-cell derived lymphoma, and more rigorous controlled clinical studies are needed 26. Nowakowski et al. 27 applied R-CHOP in combination with Lenalidomide in the treatment of Ann Arbor II-IV stage DLBCL with an overall response rate of 98%, a complete response rate of 80%, and 2-year PFS and OS of 59% and 78%, respectively. Kim et al. 28 applied an R-CVP (rituximab, cyclophosphamide, vincristine, and prednisolone) regimen for bilateral or extra-conjunctival involved ocular MALT lymphoma, and reported a 4-year PFS and OS of 90.3% and 100%, respectively. The results of this analysis showed that there was no statistical difference in PFS or OS among all treatment regimens. In consideration of age, pathological type, chemotherapy side effects, and other factors, postoperative radiotherapy should be the first choice and follow-up protocols for high-risk patients should be strengthened. Due to the limited sample size of this study, further large-sample, multi-center clinical studies are needed to evaluate the synergistic and overall effects of related factors on OAL disease prognosis.

In conclusion, TNM stage and pathological type are important clinical prognostic indicators of ocular adnexal lymphoma. Under the effect of a single factor, the risk of death and disease progression was higher in patients with T4 stage, \geq N1 stage, and non-MALT tumors. Under the effect of multiple factors, pathological type was an independent risk factor of OS and PFS, and N stage was an independent risk factor of PFS. Patients with high TNM stage or non-MALT type tumors should be monitored closely.

Conflict of interest None declared.

Author contributions Jian Tianming: conceived and designed the research, collected the data, performed the analysis, wrote the paper; Gao Fei, Yang Wanchen: collected the data, revise the paper; Tang Dongrun, He Yanjin: collect the cases, performed the analysis; Sun Fengyuan: conceived and designed the research, collected the data, revise the paper

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